

Introduction to Type Theory  
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Lecture 2: [Dependent Type Theory, Logical Framework](#)

For  $\lambda \rightarrow$ :

**Direct** representation (**shallow embedding**) of logic in type theory.

- **Connectives** each have a counterpart in the type theory:  
implication  $\sim$  arrow type
- **Logical rules** have their direct counterpart in type theory  
 $\lambda$ -abstraction  $\sim$  implication introduction  
application  $\sim$  implication elimination
- Context declares **assumptions**

Second way of interpreting logic in type theory De Bruijn:

Logical framework encoding or deep embedding of logic in type theory.

- Type theory used as a meta system for encoding ones own logic.
- Choose an appropriate context  $\Gamma_L$ , in which the logic  $L$  (including its proof rules) is declared.
- Context used as a signature for the logic.
- Use the type system as the 'meta' calculus for dealing with substitution and binding.

	proof	formula
shallow embedding	$\lambda x:A.x$	$A \rightarrow A$
deep embedding	$\text{imp\_intr } A A \lambda x:T A.x$	$T(A \Rightarrow A)$

Needed:

$\Rightarrow$  :  $\text{prop} \rightarrow \text{prop} \rightarrow \text{prop}$

$T$  :  $\text{prop} \rightarrow \text{type}$

$\text{imp\_intr}$  :  $(A, B : \text{prop})(T A \rightarrow T B) \rightarrow T(A \Rightarrow B)$

$\text{imp\_el}$  :  $(A, B : \text{prop})T(A \Rightarrow B) \rightarrow T A \rightarrow T B.$

Close to a Gödel like **encoding** of predicate logic in  $\mathbb{N}$ :

Define a coding function  $\lceil - \rceil$  for formulas and define  $\text{Bew}(\lceil \varphi \rceil, n)$

Define  $\text{mp} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$  such that

$$\forall x, y. \text{Bew}(\lceil \varphi \rceil, x) \rightarrow \text{Bew}(\lceil \varphi \Rightarrow \psi \rceil, y) \rightarrow \text{Bew}(\lceil \psi \rceil, \text{mp}(x, y))$$

## Direct representation

## Deep encoding

One type system : One logic

One type system : Many logics

Logical rules  $\sim$  type theoretic rules

Logical rules  $\sim$  context declarations

### Plan:

- First show examples of logics in a logical framework
- Then define precisely the type theory of the logical framework

Use **type** to denote the universe of types.

The encoding of logics in a logical framework is shown by three examples:

1. Minimal proposition logic
2. Minimal predicate logic (just  $\{\Rightarrow, \forall\}$ )
3. Untyped  $\lambda$ -calculus

## Minimal propositional logic

Fix the **signature** (context) of minimal propositional logic.

**prop** : **type**

**imp** :  $\text{prop} \rightarrow \text{prop} \rightarrow \text{prop}$

Notation:  $A \Rightarrow B$  for  $\text{imp } A B$

The type **prop** is the type of ‘**names**’ of propositions.

NB: A term of type **prop** can’t be inhabited (proved), as it’s not a type.

We ‘**lift**’ a name  $p : \text{prop}$  to the **type of its proofs** by introducing the following map:

**T** :  $\text{prop} \rightarrow \text{type}$ .

Intended meaning of  $\text{T}p$  is ‘the **type of proofs** of  $p$ ’.

We interpret ‘ $p$  is valid’ by ‘ $\text{T}p$  is inhabited’.

To derive  $\top p$  we also encode the **logical derivation rules**

**imp\_intr** :  $\prod p, q : \text{prop.} (\top p \rightarrow \top q) \rightarrow \top (p \Rightarrow q),$

**imp\_el** :  $\prod p, q : \text{prop.} \top (p \Rightarrow q) \rightarrow \top p \rightarrow \top q.$

New phenomenon:  **$\Pi$ -type**:

**$\prod x:A. B(x)$**   $\simeq$  the type of functions  $f$  such that  
 $f a : B(a)$  for all  $a:A$

**imp\_intr** takes two (names of) **propositions**  $p$  and  $q$  and a term  $f : \top p \rightarrow \top q$  and returns a term of type  $\top (p \Rightarrow q)$

Indeed  $A \Rightarrow A$ , becomes valid:

$\text{imp\_intr } A \ A (\lambda x : \top A. x) : \top (A \Rightarrow A)$

Exercise: Construct a term of type  $\top (A \Rightarrow (B \Rightarrow A))$

Define

$\Sigma_{\text{PROP}}$  to be the signature for minimal proposition logic, **PROP**.

Desired **properties** of the encoding:

- **Adequacy** (**soundness**) of the encoding:

$\vdash_{\text{PROP}} A \Rightarrow \Sigma_{\text{PROP}}, a_1:\text{prop}, \dots, a_n:\text{prop} \vdash p : \top A$  for some  $p$ .

$\{a, \dots, a_n\}$  is the set of proposition variables in  $A$ .

Proof by induction on the derivation of  $\vdash_{\text{PROP}} A$ .

- **Faithfulness** (or **completeness**) is the converse. It also holds, but more involved to prove.



**Minimal predicate logic** over one domain  $A$  (just  $\Rightarrow$  and  $\forall$ )

Signature:

```
prop  : type,
A     : type,
T     : prop → type
f     : A → A,
R     : A → A → prop,
⇒     : prop → prop → prop,
imp_intr : Π p, q : prop. (T p → T q) → T (p ⇒ q),
imp_el  : Π p, q : prop. T (p ⇒ q) → T p → T q.
```

Now encode  $\forall$ :  $\forall$  takes a  $P : A \rightarrow \text{prop}$  and returns a **proposition**, so:

$\forall : (A \rightarrow \text{prop}) \rightarrow \text{prop}$

**Minimal predicate logic** over one domain  $A$  (just  $\Rightarrow$  and  $\forall$ )

Signature:  $\Sigma_{\text{PRED}}$

prop : type,  
A : type,  
:  
 $\Rightarrow$  : prop  $\rightarrow$  prop  $\rightarrow$  prop,  
imp\_intr :  $\Pi p, q : \text{prop}. (\top p \rightarrow \top q) \rightarrow \top (p \Rightarrow q)$ ,  
imp\_el :  $\Pi p, q : \text{prop}. \top (p \Rightarrow q) \rightarrow \top p \rightarrow \top q$ .

Now encode  $\forall$ :  $\forall$  takes a  $P : A \rightarrow \text{prop}$  and returns a **proposition**, so:

$\forall : (A \rightarrow \text{prop}) \rightarrow \text{prop}$

Universal quantification is translated as follows.

$\forall x:A.(Px) \mapsto \forall(\lambda x:A.(Px))$

Intro and elim rules for  $\forall$ :

$$\begin{aligned} \forall & : (A \rightarrow \text{prop}) \rightarrow \text{prop}, \\ \forall\_intr & : \Pi P:A \rightarrow \text{prop}. (\Pi x:A. \mathbb{T}(Px)) \rightarrow \mathbb{T}(\forall P), \\ \forall\_elim & : \Pi P:A \rightarrow \text{prop}. \mathbb{T}(\forall P) \rightarrow \Pi x:A. \mathbb{T}(Px). \end{aligned}$$

The proof of

$$\forall z:A (\forall x, y:A. Rxy) \Rightarrow Rzz$$

is now mirrored by the proof-term

$$\begin{aligned} \forall\_intr[-] ( & \lambda z:A. \text{imp\_intr}[-] [-] (\lambda h:\mathbb{T}(\forall x, y:A. Rxy). \\ & \forall\_elim[-] (\forall\_elim[-] hz) z) ) \end{aligned}$$

We have replaced the instantiations of the  $\Pi$ -type by  $[-]$ .

This term is of type

$$\mathbb{T}(\forall (\lambda z:A. \text{imp}(\forall (\lambda x:A. (\forall (\lambda y:A. Rxy)))) (Rzz)))$$

Intro and elim rules for  $\forall$ :

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Exercise: Construct a proof-term that mirrors the (obvious) proof of

$$\forall x(Px \Rightarrow Qx) \Rightarrow \forall x.Px \Rightarrow \forall x.Qx$$

Again one can prove **adequacy**

$$\vdash_{\text{PRED}} \varphi \Rightarrow \Sigma_{\text{PRED}, x_1:\mathbf{A}, \dots, x_n:\mathbf{A}} \vdash p : \mathbf{T}\varphi, \text{ for some } p,$$

where  $\{x_1, \dots, x_n\}$  is the set of free variables in  $\varphi$ .

**Faithfulness** can be proved as well.

Logical Framework, LF, or  $\lambda P$

Derive judgements of the form

$$\Gamma \vdash M : B$$

- $\Gamma$  is a **context**
- $M$  and  $B$  are **terms**  
taken from the set of pseudoterms

$$T ::= \text{Var} \mid \text{type} \mid \text{kind} \mid TT \mid \lambda x:T.T \mid \Pi x:T.T,$$

**Auxiliary** judgement

$$\Gamma \vdash$$

denoting that  $\Gamma$  is a **correct context**.

Derivation rules of LF. (s ranges over {type, kind}.)

$$\text{(base)} \emptyset \vdash \quad \text{(ctxt)} \frac{\Gamma \vdash A : \mathbf{s}}{\Gamma, x:A \vdash} \text{ if } x \text{ not in } \Gamma \quad \text{(ax)} \frac{\Gamma \vdash}{\Gamma \vdash \mathbf{type} : \mathbf{kind}}$$

$$\text{(proj)} \frac{\Gamma \vdash}{\Gamma \vdash x : A} \text{ if } x:A \in \Gamma \quad \text{(II)} \frac{\Gamma, x:A \vdash B : \mathbf{s} \quad \Gamma \vdash A : \mathbf{type}}{\Gamma \vdash \Pi x:A.B : \mathbf{s}}$$

$$\text{(\lambda)} \frac{\Gamma, x:A \vdash M : B \quad \Gamma \vdash \Pi x:A.B : \mathbf{s}}{\Gamma \vdash \lambda x:A.M : \Pi x:A.B} \quad \text{(app)} \frac{\Gamma \vdash M : \Pi x:A.B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B[N/x]}$$

$$\text{(conv)} \frac{\Gamma \vdash M : B \quad \Gamma \vdash A : \mathbf{s}}{\Gamma \vdash M : A} A =_{\beta\eta} B$$

Notation: write  $A \rightarrow B$  for  $\Pi x:A.B$  if  $x \notin \text{FV}(B)$ .

- The contexts  $\Sigma_{\text{PROP}}$  and  $\Sigma_{\text{PRED}}$  are well-formed.
- The  $\Pi$  rule allows to form two forms of function types.

$$(\Pi) \frac{\Gamma, x:A \vdash B : s \quad \Gamma \vdash A : \mathbf{type}}{\Gamma \vdash \Pi x:A. B : s}$$

- With  $s = \mathbf{type}$ , we can form  $D \rightarrow D$  and  $\Pi x:D. x = x$ , etc.
- With  $s = \mathbf{kind}$ , we can form  $D \rightarrow D \rightarrow \mathbf{type}$  and  $\mathbf{prop} \rightarrow \mathbf{type}$ .



Untyped  $\lambda$ -calculus. Signature  $\Sigma_{\text{lambda}}$ :

$$\begin{aligned} D & : \text{type}; \\ \text{app} & : D \rightarrow (D \rightarrow D); \\ \text{abs} & : (D \rightarrow D) \rightarrow D. \end{aligned}$$

Encoding of  $\lambda$ -terms as terms of type  $D$ .

- A variable  $x$  in  $\lambda$ -calculus becomes  $x : D$  in the type system.
- The translation  $[-] : \Lambda \rightarrow \text{Term}(D)$  is defined as follows.

$$\begin{aligned} [x] & = x; \\ [PQ] & = \text{app } [P] [Q]; \\ [\lambda x.P] & = \text{abs } (\lambda x:D.[P]). \end{aligned}$$

Examples:  $[\lambda x.xx] := \text{abs}(\lambda x:D.\text{app } x x)$

$[(\lambda x.xx)(\lambda y.y)] := \text{app}(\text{abs}(\lambda x:D.\text{app } x x))(\text{abs}(\lambda y:D.y)).$

Introducing  $\beta$ -equality in  $\Sigma_{\text{lambda}}$  :

$\text{eq}:\text{D}\rightarrow\text{D}\rightarrow\text{type}.$

Notation  $P = Q$  for  $\text{eq } P Q$ .

**Rules** for proving equalities.

- refl** :  $\prod x:\text{D}.x = x,$
- sym** :  $\prod x, y:\text{D}.x = y \rightarrow y = x,$
- trans** :  $\prod x, y, z:\text{D}.x = y \rightarrow y = z \rightarrow x = z,$
- mon** :  $\prod x, x', z, z':\text{D}.x = x' \rightarrow z = z' \rightarrow (\text{app } z x) = (\text{app } z' x'),$
- xi** :  $\prod f, g:\text{D}\rightarrow\text{D}.(\prod x:\text{D}.(fx) = (gx)) \rightarrow (\text{abs } f) = (\text{abs } g),$
- beta** :  $\prod f:\text{D}\rightarrow\text{D}.\prod x:\text{D}.(app(\text{abs } f)x) = (fx).$

Adequacy:

$$P =_{\beta} Q \Rightarrow \Sigma_{\text{lambda}, x_1:\text{D}, \dots, x_n:\text{D}} \vdash p : [P] = [Q], \text{ for some } p.$$

Here,  $x_1, \dots, x_n$  are the free variables in  $PQ$

Faithfulness also holds.

Signature  $\Sigma_{\text{lambda}}$ :

D	:	type	sym	:	$\Pi x, y:D. x = y \rightarrow y = x,$
app	:	$D \rightarrow (D \rightarrow D)$	trans	:	$\Pi x, y, z:D. x = y \rightarrow y = z \rightarrow x = z,$
abs	:	$(D \rightarrow D) \rightarrow D,$	mon	:	$\Pi x, x', z, z':D. x = x' \rightarrow z = z' \rightarrow (\text{app } z x) = (\text{app } z x')$
eq	:	$D \rightarrow D \rightarrow \text{type},$	xi	:	$\Pi f, g:D \rightarrow D. (\Pi x:D. (fx) = (gx)) \rightarrow (\text{abs } f) = (\text{abs } g)$
refl	:	$\Pi x:D. x = x,$	beta	:	$\Pi f:D \rightarrow D. \Pi x:D. (\text{app}(\text{abs } f)x) = (fx).$

Exercise:

- Prove (i.e. find a proof term of the associated type)  $(\lambda x.x)y =_{\beta} y$
- Add an axiom for  **$\eta$ -equality** ( $\lambda x.Px =_{\eta} P$  if  $x \notin \text{FV}(P)$ ) to the context and the **extensionality rule** ( $\forall N(MN = PN \rightarrow M = N)$ )
- Prove that  $\eta$  follows from extensionality.

## Properties of $\lambda P$ .

- **Uniqueness of types**

If  $\Gamma \vdash M : \sigma$  and  $\Gamma \vdash M : \tau$ , then  $\sigma =_{\beta\eta} \tau$ .

- **Subject Reduction**

If  $\Gamma \vdash M : \sigma$  and  $M \longrightarrow_{\beta\eta} N$ , then  $\Gamma \vdash N : \sigma$ .

- **Strong Normalization**

If  $\Gamma \vdash M : \sigma$ , then all  $\beta\eta$ -reductions from  $M$  terminate.

Proof of SN is by defining a reduction preserving map from  $\lambda P$  to  $\lambda \rightarrow$ .

Decidability Questions:

$\Gamma \vdash M : \sigma?$  TCP

$\Gamma \vdash M : ?$  TSP

$\Gamma \vdash ? : \sigma$  TIP

For  $\lambda P$ :

- TIP is **undecidable**
- TCP/TSP: simultaneously with **Context checking**

## Type Checking

Define algorithms  $\text{Ok}(-)$  and  $\text{Type}_-(-)$  simultaneously:

- $\text{Ok}(-)$  takes a **context** and returns 'true' or 'false'
- $\text{Type}_-(-)$  takes a **context** and a **term** and returns a **term** or 'false'.

The **type synthesis algorithm**  $\text{Type}_-(-)$  is **sound** if

$$\text{Type}_\Gamma(M) = A \Rightarrow \Gamma \vdash M : A$$

for all  $\Gamma$  and  $M$ .

The **type synthesis algorithm**  $\text{Type}_-(-)$  is **complete** if

$$\Gamma \vdash M : A \Rightarrow \text{Type}_\Gamma(M) =_{\beta\eta} A$$

for all  $\Gamma$ ,  $M$  and  $A$ .

$$\text{Ok}(\langle \rangle) = \text{'true'}$$

$$\text{Ok}(\Gamma, x:A) = \text{Type}_\Gamma(A) \in \{\mathbf{type}, \mathbf{kind}\},$$

$$\text{Type}_\Gamma(x) = \text{if } \text{Ok}(\Gamma) \text{ and } x:A \in \Gamma \text{ then } A \text{ else 'false'},$$

$$\text{Type}_\Gamma(\mathbf{type}) = \text{if } \text{Ok}(\Gamma) \text{ then } \mathbf{kind} \text{ else 'false'},$$

$$\begin{aligned} \text{Type}_\Gamma(MN) = & \text{if } \text{Type}_\Gamma(M) = C \text{ and } \text{Type}_\Gamma(N) = D \\ & \text{then} \quad \text{if } C \twoheadrightarrow_\beta \Pi x:A.B \text{ and } A =_\beta D \\ & \quad \text{then } B[N/x] \text{ else 'false'} \\ & \text{else} \quad \text{'false'}, \end{aligned}$$



$$\begin{aligned} \text{Type}_{\Gamma}(\lambda x:A.M) &= \text{if } \text{Type}_{\Gamma,x:A}(M) = B \\ &\quad \text{then} \quad \text{if } \text{Type}_{\Gamma}(\Pi x:A.B) \in \{\mathbf{type}, \mathbf{kind}\} \\ &\quad \quad \text{then } \Pi x:A.B \text{ else 'false'} \\ &\quad \text{else 'false'}, \\ \text{Type}_{\Gamma}(\Pi x:A.B) &= \text{if } \text{Type}_{\Gamma}(A) = \mathbf{type} \text{ and } \text{Type}_{\Gamma,x:A}(B) = s \\ &\quad \text{then } s \text{ else 'false'} \end{aligned}$$

## Soundness

$$\text{Type}_\Gamma(M) = A \Rightarrow \Gamma \vdash M : A$$

## Completeness

$$\Gamma \vdash M : A \Rightarrow \text{Type}_\Gamma(M) =_{\beta\eta} A$$

As a consequence:

$$\text{Type}_\Gamma(M) = \text{'false'} \Rightarrow M \text{ is not typable in } \Gamma$$

NB 1. Completeness implies that `Type` terminates on **all well-typed terms**. We want that `Type` terminates on **all pseudo terms**.

NB 2. Completeness only makes sense if we have **uniqueness of types** (Otherwise: let `Type_(-)` generate a **set of possible types**)

**Termination:** we want  $\text{Type}_-(\_)$  to **terminate** on all inputs.

Interesting cases:  $\lambda$ -abstraction and application:

$$\begin{aligned} \text{Type}_\Gamma(\lambda x:A.M) &= \text{if } \text{Type}_{\Gamma, x:A}(M) = B \\ &\quad \text{then} \quad \text{if } \text{Type}_\Gamma(\Pi x:A.B) \in \{\mathbf{type}, \mathbf{kind}\} \\ &\quad \quad \text{then } \Pi x:A.B \text{ else 'false'} \\ &\quad \text{else 'false'}, \end{aligned}$$

! Recursive call is not on a **smaller** term!

Replace the side condition

$$\text{if } \text{Type}_\Gamma(\Pi x:A.B) \in \{\mathbf{type}, \mathbf{kind}\}$$

by

$$\text{if } \text{Type}_\Gamma(A) \in \{\mathbf{type}\}$$

and prove equivalent.

**Termination:** we want  $\text{Type}_\Gamma(-)$  to **terminate** on all inputs.

Interesting cases:  $\lambda$ -abstraction and application:

$$\begin{aligned} \text{Type}_\Gamma(MN) &= \text{if } \text{Type}_\Gamma(M) = C \text{ and } \text{Type}_\Gamma(N) = D \\ &\quad \text{then } \text{if } C \twoheadrightarrow_\beta \Pi x:A.B \text{ and } A =_\beta D \\ &\quad \quad \text{then } B[N/x] \text{ else 'false'} \\ &\quad \text{else 'false'}, \end{aligned}$$

! Need to decide  $\beta$ -reduction and  $\beta$ -equality!

For this case, **termination** follows from soundness of  $\text{Type}$  and the **decidability of equality** on **well-typed** terms (using **SN** and **CR**).

Direct representation (shallow embedding) of PRED into  $\lambda P$

Represent **both** the **domains** of the logic and the **formulas** as **types**.

$$A : \text{type},$$
$$P : A \rightarrow \text{type},$$
$$R : A \rightarrow A \rightarrow \text{type},$$

Now  $\Rightarrow$  is represented as  $\rightarrow$  and  $\forall$  is represented as  $\Pi$ :

$$\forall x:A.P x \mapsto \Pi x:A.P x$$

**Intro** and **elim** rules are just  **$\lambda$ -abstraction** and **application**

## Example

$$\begin{aligned} A : \mathbf{type}, R : A \rightarrow A \rightarrow \mathbf{type} & \vdash \lambda z:A. \lambda h:(\Pi x, y:A. R x y). h z z \\ & : \Pi z:A. (\Pi x, y:A. R x y) \rightarrow R z z \end{aligned}$$

Exercise: Find terms of the following types (NB  $\rightarrow$  binds strongest)

$$(\Pi x:A. P x \rightarrow Q x) \rightarrow (\Pi x:A. P x) \rightarrow \Pi x:A. Q x$$

and

$$(\Pi x:A. P x \rightarrow \Pi z. R z z) \rightarrow (\Pi x:A. P x) \rightarrow \Pi z:A. R z z).$$

Also write down the contexts in which these terms are typed.