Certified Proof Carrying Code by abstract interpretation Types Summer School 2007 - Bertinoro - Italy

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1 Certified static analysis

Certified static analysis Introduction

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- Introduction
- Building a certified static analyser

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2 From certified static analysis to certified PCC

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3 A case study : array-bound checks polyhedral analysis

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Polyhedral abstract interpretation

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- 3 A case study : array-bound checks polyhedral analysis
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From certified static analysis to certified PCC

- 3 A case study : array-bound checks polyhedral analysis
 - Polyhedral abstract interpretation
 - Certified polyhedral abstract interpretation
 - Application : a polyhedral bytecode analyser

Static program analysis

The goals of static program analysis

- To prove properties about the run-time behaviour of a program
- In a fully automatic way
- Without actually executing this program

Static program analysis

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- To prove properties about the run-time behaviour of a program
- In a fully automatic way
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Solid foundations for designing an analyser

- Abstract Interpretation gives a guideline
 - to formalise analyses
 - to prove their soundness with respect to the semantics of the programming language
- Resolution of constraints on lattices by iteration and symbolic computation

So what's the problem?

Proof

```
\dot{\alpha} [P](\text{Post}[if B \text{ then } S_t \text{ else } S_f \text{ fi}])
        \frac{110}{def.} (110) of \ddot{\alpha} [P]
\ddot{\alpha}[P] \circ \text{Post}[\text{if } B \text{ then } S_t \text{ else } S_f \text{ fi}] \circ \ddot{\gamma}[P]
        7def. (103) of Post
\ddot{\alpha}[P] \circ \text{post}[\tau^*[\text{if } B \text{ then } S_t \text{ else } S_t \text{ fi}]] \circ \ddot{\nu}[P]
         (big step operational semantics (93))
\ddot{\alpha}\llbracket P \rrbracket \circ \text{post}\llbracket (1_{\Sigma}\llbracket P \rrbracket \cup \tau^B) \circ \tau^{\star}\llbracket S_t \rrbracket \circ (1_{\Sigma}\llbracket P \rrbracket \cup \tau^t) \cup (1_{\Sigma}\llbracket P \rrbracket \cup \tau^{\tilde{B}}) \circ \tau^{\star}\llbracket S_f \rrbracket \circ (1_{\Sigma}\llbracket P \rrbracket \cup \tau^{\tilde{B}})
\tau^{f})] \circ \vec{v}[P]
        [Galois connection (98) so that post preserves joins ]
\ddot{\alpha}[P] \circ (\text{post}[(1_{\Sigma}[P] \cup \tau^B) \circ \tau^*[S_t]] \circ (1_{\Sigma}[P] \cup \tau^t)] \dot{\cup}
\text{post}[(1_{\Sigma}[P] \cup \tau^{\tilde{B}}) \circ \tau^{\star}[S_{f}] \circ (1_{\Sigma}[P] \cup \tau^{f})]) \circ \ddot{\gamma}[P]
        (Galois connection (106) so that ä [P] preserves joins)
(\ddot{\alpha}[\![P]\!] \circ \text{post}[(1_{\Sigma}[\![P]\!] \cup \tau^B) \circ \tau^*[\![S_t]\!] \circ (1_{\Sigma}[\![P]\!] \cup \tau^t)] \circ \ddot{\gamma}[\![P]\!]) \stackrel{\circ}{\amalg} (\ddot{\alpha}[\![P]\!] \circ
\text{post}[(1_{\Sigma[P]} \cup \tau^{\bar{B}}) \circ \tau^{\star}[S_f] \circ (1_{\Sigma[P]} \cup \tau^f)] \circ \ddot{\gamma}[P])
        /lemma (5.3) and similar one for the else branch
\lambda J \cdot \text{let } J^{t'} = \lambda l \in \text{in}_{P} \llbracket P \rrbracket \cdot (l = \text{at}_{P} \llbracket S_{t} \rrbracket ? J_{\text{at}_{P}} \llbracket S_{l} \amalg \sqcup \text{Abexp} \llbracket B \rrbracket (J_{f}) \notin J_{l}) \text{ in}
                                                                                                                                                                                       (120)
             let J^{t''} = \operatorname{APost}[S_t](J^{t'}) in
                 \lambda l \in in_P \llbracket P \rrbracket \cdot (l = \ell' ? J_{\ell'}^{t''} \sqcup J_{afters}^{t''} \varsigma J_l^{t''})
         let J^{f'} = \lambda l \in in_P \llbracket P \rrbracket \cdot (l = at_P \llbracket S_f \rrbracket ? J_{at_P \llbracket S_f \rrbracket} \sqcup Abexp \llbracket T(\neg B) \rrbracket (J_\ell) \mathrel{\dot{\epsilon}} J_l) in
             let J^{f''} = \operatorname{APost}[S_f](J^{f'}) in
                 \lambda I \in \operatorname{in}_{P}\llbracket P \rrbracket \cdot (I = \ell' ? J_{\ell'}^{f''} \sqcup J_{\operatorname{after}_{P}}^{f''} \varsigma J_{l}^{f''})
        7by grouping similar terms §
\lambda J \cdot \text{let } J^{t'} = \lambda l \in \text{in}_{P} [\![P]\!] \cdot (l = \text{at}_{P} [\![S_{t}]\!] ? J_{\text{at}_{P} [\![S_{t}]\!]} \sqcup \text{Abexp} [\![B]\!] (J_{\ell}) ` J_{l})
         and J^{f'} = \lambda l \in in_P[\![P]\!] \cdot (l = at_P[\![S_f]\!] ? J_{at_P[\![S_f]\!]} \sqcup Abexp[\![T(\neg B)]\!] (J_\ell) \mathrel{\dot{\epsilon}} J_l) in
             let J^{t''} = \operatorname{APost}[S_t](J^{t'})
             and J^{f''} = \operatorname{APost}[S_f](J^{f'}) in
                \lambda l \in \operatorname{in}_{P}\llbracket P \rrbracket \cdot (l = \ell' ? J_{\ell'}^{t''} \sqcup J_{\operatorname{after}_{P}}^{t''} \amalg J_{\ell'}^{f''} \sqcup J_{\operatorname{after}_{P}}^{f''} \sqcup J_{\ell'}^{f''} \sqcup J_{1}^{f''} \sqcup J_{1}^{f''})
        (by locality (113) and labelling scheme (59) so that in particular J_{\ell'}^{\ell''} = J_{\ell'}^{\ell} = J_{\ell'}^{f} = J_{\ell'}^{f}
          = J_{e_1}^{f'} = J_{e_2}^{f''} and APost [S_t] and APost [S_t] do not interfere
                                                                                                                                                                    @P.Cousot
```

Proof

```
\dot{\alpha}[P](\text{Post[if } B \text{ then } S_{\ell} \text{ else } S_{\ell} \text{ fi}])
        \frac{110}{def.} (110) of \ddot{\alpha} [P]
\ddot{\alpha}[P] \circ \text{Post}[\text{if } B \text{ then } S_t \text{ else } S_f \text{ fi}] \circ \ddot{\gamma}[P]
        7def. (103) of Post
\ddot{\alpha}[P] \circ \text{post}[\tau^*[\text{if } B \text{ then } S_t \text{ else } S_t \text{ fi}]] \circ \ddot{\nu}[P]
         7 big step operational semantics (93)
\ddot{\alpha}\llbracket P \rrbracket \circ \text{post}\llbracket (1_{\Sigma}\llbracket P \rrbracket \cup \tau^B) \circ \tau^{\star}\llbracket S_t \rrbracket \circ (1_{\Sigma}\llbracket P \rrbracket \cup \tau^t) \cup (1_{\Sigma}\llbracket P \rrbracket \cup \tau^{\tilde{B}}) \circ \tau^{\star}\llbracket S_f \rrbracket \circ (1_{\Sigma}\llbracket P \rrbracket \cup \tau^{\tilde{B}})
\tau^{f})] \circ \vec{v}[P]
        7 Galois connection (98) so that post preserves joins §
\ddot{\alpha}[P] \circ (\text{post}[(1_{\Sigma}[P] \cup \tau^B) \circ \tau^*[S_t]] \circ (1_{\Sigma}[P] \cup \tau^t)] \dot{\cup}
\text{post}[(1_{\Sigma}[P] \cup \tau^{\tilde{B}}) \circ \tau^{\star}[S_{f}] \circ (1_{\Sigma}[P] \cup \tau^{f})]) \circ \ddot{\gamma}[P]
        /Galois connection (106) so that \ddot{\alpha}[P] preserves joins
(\ddot{\alpha}\llbracket P \rrbracket \circ \mathsf{post}[(1_{\Sigma}\llbracket P \rrbracket \cup \tau^B) \circ \tau^{\star}\llbracket S_t \rrbracket \circ (1_{\Sigma}\llbracket P \rrbracket \cup \tau^t)] \circ \ddot{\gamma}\llbracket P \rrbracket) \stackrel{\circ}{\amalg} (\ddot{\alpha}\llbracket P \rrbracket \circ
\text{post}[(1_{\Sigma[P]} \cup \tau^{\bar{B}}) \circ \tau^{\star}[S_f] \circ (1_{\Sigma[P]} \cup \tau^f)] \circ \ddot{\gamma}[P])
       /lemma (5.3) and similar one for the else branch
\lambda J \cdot \text{let } J^{t'} = \lambda l \in \text{in}_{P} [\![P]\!] \cdot (l = \text{at}_{P} [\![S_{t}]\!] ? J_{\text{at}_{P}} [\![S_{t}]\!] \sqcup \text{Abexp} [\![B]\!] (J_{f}) ` J_{l}) \text{ in}
                                                                                                                                                                                        (120)
             let J^{t''} = \operatorname{APost}[S_t](J^{t'}) in
                 \lambda l \in \operatorname{in}_{P}[\![P]\!] \cdot (l = \ell' ? J_{\ell'}^{t''} \sqcup J_{\operatorname{after}_{P}[S_{n}]}^{t''} i J_{l}^{t''})
         let J^{f'} = \lambda l \in in_P[\![P]\!] \cdot (l = at_P[\![S_f]\!] ? J_{at_P[S_f]\!]} \sqcup Abexp[\![T(\neg B)]\!] (J_\ell) \stackrel{!}{\diamond} J_l) in
             let J^{f''} = \operatorname{APost}[S_f](J^{f'}) in
                 \lambda I \in \operatorname{in}_{P}\llbracket P \rrbracket \cdot (I = \ell' ? J_{\ell'}^{f''} \sqcup J_{\operatorname{after}_{P}}^{f''} \varsigma J_{l}^{f''})
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         and J^{f'} = \lambda l \in in_P[\![P]\!] \cdot (l = at_P[\![S_f]\!] ? J_{at_P[\![S_f]\!]} \sqcup Abexp[\![T(\neg B)]\!] (J_\ell) \mathrel{\dot{\epsilon}} J_l) in
             let J^{t''} = \operatorname{APost}[S_t](J^{t'})
             and J^{f''} = \operatorname{APost}[S_f](J^{f'}) in
                \lambda l \in \operatorname{in}_{P}\llbracket P \rrbracket \cdot (l = \ell' ? J_{\ell'}^{t''} \sqcup J_{\operatorname{after}_{P}}^{t''} \amalg J_{\ell'}^{f''} \sqcup J_{\operatorname{after}_{P}}^{f''} \sqcup J_{\ell'}^{f''} \sqcup J_{1}^{f''} \sqcup J_{1}^{f''})
        (by locality (113) and labelling scheme (59) so that in particular J_{\ell'}^{\ell''} = J_{\ell'}^{\ell} = J_{\ell'}^{f} = J_{\ell'}^{f}
          = J_{e_1}^{f'} = J_{e_2}^{f''} and APost [S_t] and APost [S_t] do not interfere
                                                                                                                                                                     @P.Cousot
```

Implementation

```
matrix t* matrix alloc int (const int mr, const int nc)
  matrix_t* mat = (matrix_t*)malloc(sizeof(matrix_t));
  mat->nbrows - mat->_maxrows - mr;
  mat->nbcolumns = nc;
  mat-> sorted = s;
  if (mr*nc>0) {
    int i:
    pkint t* g;
    mat->_pinit = _vector_alloc_int(mr*nc);
    mat->p = (pkint t**)malloc(mr * sizeof(pkint t*));
    q = mat->_pinit;
    for (i=0;i<mr;i++) {
      mat->p[i]=q;
      q=q+nc;
  return mat;
void backsubstitute(matrix_t* con, int rank)
  int i.i.k;
  for (k-rank-1; k>-0; k--) {
    j = pk_cherni_intp[k];
    for (i=0; i<k; i++) {
      if (pkint_sqn(con->p[i][j]))
        matrix combine rows(con, i, k, i, i);
    for (i=k+1; i<con->nbrows; i++) {
      if (pkint sgn(con->p[i][i]))
        matrix_combine_rows(con,i,k,i,j);
                                                     ©B.Jeannet
```

Proof

```
Implementation
\dot{\alpha}[P](\text{Post[if } B \text{ then } S_{\ell} \text{ else } S_{\ell} \text{ fi}])
      (110) \text{ of } \ddot{\alpha} [P]
\ddot{\alpha}[P] \circ \text{Post}[\text{if } B \text{ then } S_t \text{ else } S_f \text{ fi}] \circ \ddot{\gamma}[P]
                                                                                                                                                                matrix t* matrix alloc int (const int mr, const int nc)
      7def. (103) of Post
                                                                                                                                                                    matrix_t* mat = (matrix_t*)malloc(sizeof(matrix_t));
\ddot{\alpha}[P] \circ \text{post}[\tau^*[\text{if } B \text{ then } S_t \text{ else } S_t \text{ fi}]] \circ \ddot{\nu}[P]
                                                                                                                                                                    mat->nbrows = mat->_maxrows = mr;
      7 big step operational semantics (93)
                                                                                                                                                                    mat->nbcolumns = nc;
\ddot{\alpha}\llbracket P \rrbracket \circ \text{post}\llbracket (1_{\Sigma}\llbracket P \rrbracket \cup \tau^B) \circ \tau^{\star}\llbracket S_t \rrbracket \circ (1_{\Sigma}\llbracket P \rrbracket \cup \tau^t) \cup (1_{\Sigma}\llbracket P \rrbracket \cup \tau^{\tilde{B}}) \circ \tau^{\star}\llbracket S_f \rrbracket \circ (1_{\Sigma}\llbracket P \rrbracket \cup \tau^{\tilde{B}})
                                                                                                                                                                    mat-> sorted = s;
                                                                                                                                                                    if (mr*nc>0) {
\tau^{f})] \circ \vec{v}[P]
      7 Galois connection (98) so that post preserves joins §
                                                                                                                                                                        int i:
\ddot{\alpha}[\![P]\!] \circ (\text{post}[(1_{\Sigma}[\![P]\!] \cup \tau^B) \circ \tau^*[\![S_t]\!] \circ (1_{\Sigma}[\![P]\!] \cup \tau^t)] \dot{\cup}
                                                                                                                                                                        pkint t* g;
                                                                                                                                                                        mat->_pinit = _vector_alloc_int(mr*nc);
\text{post}[(1_{\Sigma}[P] \cup \tau^{\tilde{B}}) \circ \tau^{\star}[S_{f}] \circ (1_{\Sigma}[P] \cup \tau^{f})]) \circ \ddot{\gamma}[P]
                                                                                                                                                                        mat->p = (pkint t**)malloc(mr * sizeof(pkint t*));
      /Galois connection (106) so that \ddot{\alpha}[P] preserves joins
                                                                                                                                                                        q = mat->_pinit;
(\ddot{\alpha}[P]] \circ \text{post}[(1_{\Sigma[P]} \cup \tau^B) \circ \tau^*[S_t]] \circ (1_{\Sigma[P]} \cup \tau^t)] \circ \ddot{\gamma}[P]) \stackrel{\circ}{\sqcup} (\ddot{\alpha}[P]] \circ
                                                                                                                                                                        for (i=0;i<mr;i++) {
                                                                                                                                                                            mat->p[i]=q;
\mathsf{post}[(1_{\nabla}[n] \cup \tau^{\tilde{B}}) \circ \tau^{\star}[S_{c}] \circ (1_{\nabla}[n] \cup \tau^{f})] \circ \vec{v}[P]).
      7len
                                                                 Do the two parts talk about the same?
\lambda J \cdot \text{let}
            \lambda l \in \operatorname{in}_{P}\llbracket P \rrbracket \cdot (\tilde{l} = \ell' ? J_{\ell'}^{t''} \sqcup J_{\operatorname{after}_{P}}^{t''} [s_{i}] i J_{l}^{t''})
                                                                                                                                                                void backsubstitute(matrix_t* con, int rank)
      let J^{f'} = \lambda l \in in_P[\![P]\!] \cdot (l = at_P[\![S_f]\!] ? J_{at_P[S_f]\!]} \sqcup Abexp[\![T(\neg B)]\!] (J_\ell) i J_\ell) in
                                                                                                                                                                    int i.i.k;
                                                                                                                                                                     for (k-rank-1; k>-0; k--) {
         let J^{f''} = \operatorname{APost}[S_f](J^{f'}) in
                                                                                                                                                                        j = pk_cherni_intp[k];
            \lambda I \in \operatorname{in}_{P}\llbracket P \rrbracket \cdot (I = \ell' ? J_{\ell'}^{f''} \sqcup J_{\operatorname{after}_{P}}^{f''} \varsigma J_{l}^{f''})
                                                                                                                                                                        for (i=0; i<k; i++) {
      7by grouping similar terms §
                                                                                                                                                                             if (pkint_sqn(con->p[i][j]))
                                                                                                                                                                                 matrix combine rows(con, i, k, i, i);
\lambda J \cdot \text{let } J^{t'} = \lambda l \in \text{in}_{P} [\![P]\!] \cdot (l = \text{at}_{P} [\![S_{t}]\!] ? J_{\text{at}_{P} [\![S_{t}]\!]} \sqcup \text{Abexp} [\![B]\!] (J_{\ell}) ` J_{l})
      and J^{f'} = \lambda l \in in_P[\![P]\!] \cdot (l = at_P[\![S_f]\!] ? J_{at_P[\![S_\ell]\!]} \sqcup Abexp[\![T(\neg B)]\!] (J_\ell) \mathrel{\dot{\iota}} J_l) in
                                                                                                                                                                        for (i=k+1; i<con->nbrows; i++) {
         let J^{t''} = \operatorname{APost}[S_t](J^{t'})
                                                                                                                                                                             if (pkint sgn(con->p[i][i]))
         and J^{f''} = \operatorname{APost}[S_f](J^{f'}) in
                                                                                                                                                                                 matrix_combine_rows(con,i,k,i,j);
            \lambda l \in \operatorname{in}_{P}\llbracket P \rrbracket \cdot (l = \ell' ? J_{\ell'}^{t''} \sqcup J_{\operatorname{after}_{P}}^{t''} \sqcup J_{\ell'}^{f'''} \sqcup J_{\operatorname{after}_{P}}^{f'''} \sqcup J_{\operatorname{after}_{P}}^{f''} I_{I_{\ell}}^{f''} \sqcup J_{I_{\ell}}^{t''} \sqcup J_{I_{\ell}}^{f''})
      (by locality (113) and labelling scheme (59) so that in particular J_{\ell'}^{\ell''} = J_{\ell'}^{\ell} = J_{\ell'}^{f} = J_{\ell'}^{f}
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                                                                                                                       @P.Cousot
```

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Certified static analyses

A *certified static analysis* is an analysis whose implementation has been formally proved correct using a proof assistant.



- proof assistant : Coq
 - we benefit from the extraction mechanism to prove executable analyser
- proof technique : abstract interpretation
 - general enough to handle a broad range of static analysis
- applications to static analysis of bytecode programs
 - to go beyond the state of the art about Sun's bytecode verifier

Abstract Interpretation

 $[Cousot \& Cousot 75, 76, 77, 79, 80, 81, 82, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 00, 01, 02, 03, 04, 05, 06, 07, . . .]^1$

Abstract Interpretation is a method for designing approximate semantics of programs.

- An approximate semantics mimics the concrete one, considering only a fragment of the properties
- Application to static analysis : static analysers are computable approximate semantics of programs
- A method to prove soundness of static analysis with respects to a semantics
- A method to formally design static analysis by systematic abstraction of the semantics of programs
- A method to compare precision between different analyses.

¹See http://www.di.ens.fr/~cousot/

Abstract Interpretation

 $[Cousot \& Cousot 75, 76, 77, 79, 80, 81, 82, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 00, 01, 02, 03, 04, 05, 06, 07, . . .]^1$

Abstract Interpretation is a method for designing approximate semantics of programs.

- An approximate semantics mimics the concrete one, considering only a fragment of the properties
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- A method to prove soundness of static analysis with respects to a semantics
- A method to formally design static analysis by systematic abstraction of the semantics of programs
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We focus here on a fragment of the theory because we only prove soundness

¹See http://www.di.ens.fr/~cousot/

Abstract interpretation executes programs on state properties instead of values.

- ► A state property is a subset in P(Z²) of (x, y) values.
- When a point is reached for a second time we make an union with the previous property.

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Collecting semantics

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```
 x = 0; y = 0; \\ \{(0,0) \} 
while (x<6) {
    if (?) {
        {(0,0) } }
        y = y+2; \\
        {(0,2) } }
    }; \\
        {(0,0), (0,2) }
    x = x+1; \\
        {(1,0), (1,2) }
}
```

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```
 \begin{array}{l} \mathbf{x} = 0; \ \mathbf{y} = 0; \\ \{(0,0), (1,0), (1,2) \} \\  \mbox{while} \ (\mathbf{x} < 6) \\ \mbox{if} \ (?) \\ \{ \\ \{(0,0), (1,0), (1,2) \} \\ \ \mathbf{y} = \mathbf{y} + 2; \\ \{(0,2), (1,2), (1,4) \} \\ \ \}; \\ \ \{(0,0), (0,2), (1,0), (1,2), (1,4) \} \\ \ \mathbf{x} = \mathbf{x} + 1; \\ \ \{(1,0), (1,2), (2,0), (2,2), (2,4) \} \\ \} \end{array}
```

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```
x = 0; v = 0;
          \{(0,0), (1,0), (1,2), \ldots\}
while (x<6) {
   if (?) {
          \{(0,0), (1,0), (1,2), \ldots\}
      v = v + 2;
          \{(0,2),(1,2),(1,4),\ldots\}
   };
           \{(0,0), (0,2), (1,0), (1,2), (1,4), \ldots\}
   x = x+1;
           \{(1,0), (1,2), (2,0), (2,2), (2,4), \ldots\}
}
           \{(6,0), (6,2), (6,4), (6,6), \ldots\}
```

Abstract interpretation executes programs on state properties instead of values.

Collecting semantics

- ► A state property is a subset in P(Z²) of (x, y) values.
- When a point is reached for a second time we make an union with the previous property.

- The set of manipulated properties may be restricted to ensure computability of the semantics.
 Example : sign of variables
- To stay in the domain of selected properties, we over-approximate the concrete properties.

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```
x = 0; y = 0;
    x = 0 ∧ y = 0
while (x<6) {
    if (?) {
        x = 0 ∧ y = 0
        y = y+2;
    };
    x = x+1;
}
```

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Approximation

- The set of manipulated properties may be restricted to ensure computability of the semantics.
 Example : sign of variables
- To stay in the domain of selected properties, we over-approximate the concrete properties.

```
 \begin{array}{l} x = 0; \ y = 0; \\ x = 0 \land y = 0 \\ \\ \text{while } (x < 6) \ \{ \\ if \ (?) \ \{ \\ x = 0 \land y = 0 \\ y = y + 2; \\ x = 0 \land y > 0 \\ \}; \\ x = 0 \land y > 0 \\ \\ x = x + 1; \end{array}
```

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Abstract interpretation executes programs on state properties instead of values.

Collecting semantics

- ► A state property is a subset in P(Z²) of (x, y) values.
- When a point is reached for a second time we make an union with the previous property.

- The set of manipulated properties may be restricted to ensure computability of the semantics.
 Example : sign of variables
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```
 \begin{array}{l} x = 0; \ y = 0; \\ x \geqslant 0 \land y \geqslant 0 \\ \\ \text{while } (x < 6) \ \{ \\ if \ (?) \ \{ \\ x = 0 \land y = 0 \\ y = y + 2; \\ x = 0 \land y > 0 \\ \\ \}; \\ x = 0 \land y \geqslant 0 \\ x = x + 1; \\ x > 0 \land y \geqslant 0 \\ \\ \end{array}
```
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 Example : sign of variables
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```
x = 0; y = 0;
x \ge 0 \land y \ge 0
while (x<6) {
if (?) {
    x \ge 0 \land y \ge 0
    y = y+2;
    x = 0 \land y > 0
};
    x = 0 \land y \ge 0
x = x+1;
    x > 0 \land y \ge 0
}
```

Abstract interpretation executes programs on state properties instead of values.

Collecting semantics

- A state property is a subset in 𝒫(ℤ²) of (𝑥, 𝒴) values.
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 Example : sign of variables
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while (x<6) {
if (?) {
    x \ge 0 \land y \ge 0
    y = y+2;
    x \ge 0 \land y \ge 0
};
    x \ge 0 \land y \ge 0
x = x+1;
    x > 0 \land y \ge 0
}
```

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      v = v + 2;
          x \ge 0 \land v \ge 0
   };
          x \ge 0 \land y \ge 0
   x = x+1;
          x \ge 0 \land y \ge 0
}
           x \ge 0 \land y \ge 0
```

Outline

Certified static analysis

- Introduction
- Building a certified static analyser

2 From certified static analysis to certified PCC

- A case study : array-bound checks polyhedral analysis
 - Polyhedral abstract interpretation
 - Certified polyhedral abstract interpretation
 - Application : a polyhedral bytecode analyser



- A puzzle with 8 pieces,
- Each piece interacts with its neighbors



Example : JVM states





- Each semantic sub-domain has its abstract counterpart
- ▶ An abstract domain is a lattice $(D^{\ddagger}, =, \sqsubseteq, \bot, \sqcup, \sqcap)$ without infinite strictly increasing chains $x_0 \sqsubset x_1 \sqsubset \cdots \sqsubset \cdots$
- First difficult point : how can we quickly develop big lattice structures in Coq?



- Each semantic sub-domain has its abstract counterpart
- ▶ An abstract domain is a lattice $(D^{\ddagger}, =, \sqsubseteq, \bot, \sqcup, \sqcap)$ without infinite strictly increasing chains $x_0 \sqsubset x_1 \sqsubset \cdots \sqsubset \cdots$
- First difficult point : how can we quickly develop big lattice structures in Coq?
 - generic lattice library

Building lattices in Coq

We propose a technique based on the new Coq module system (inspired by the ML module system)

Lattice requirements are collected in a module contract

Module Type LatticeWf.

End Lattice.

David Pichardie

Certified Proof Carrying Code by abstract interpretation

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Module Type LatticeWf. Parameter t : Set.

End Lattice.

David Pichardie

Certified Proof Carrying Code by abstract interpretation

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```
Module Type LatticeWf.

Parameter t : Set.

Parameter eq : t \rightarrow t \rightarrow Prop.

Parameter eq.prop : ...

(* eq (=) is a computable equivalence relation *)
```

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Parameter order_prop : ...

(* order (\sqsubseteq) is a computable order relation *)

Parameter join : t \rightarrow t \rightarrow t.

Parameter join_prop : ...

(* join (\sqcup) is a binary least upper bound *)
```

```
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Parameter t : Set.

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Parameter order : t \rightarrow t \rightarrow Prop.

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(* order (\Box) is a computable order relation *)

Parameter join : t \rightarrow t \rightarrow t.

Parameter join.prop : ...

(* join (\Box) is a binary least upper bound *)

Parameter meet : t \rightarrow t.

Parameter meet.prop : ...

(* meet (\Box) is a binary greatest lower bound *)
```

```
Module Type LatticeWf.
   Parameter t : Set.
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                 (* eq (=) is a computable equivalence relation *)
   Parameter order : t \rightarrow t \rightarrow Prop.
   Parameter order_prop : ...
                 (* order (\Box) is a computable order relation *)
   Parameter join : t \rightarrow t \rightarrow t.
   Parameter join_prop : ...
                 (* join (⊔) is a binary least upper bound *)
   Parameter meet : t \rightarrow t \rightarrow t.
   Parameter meet_prop : ...
                 (* meet (\Pi) is a binary greatest lower bound *)
   Parameter bottom : t.
                 (* bottom element to start iteration *)
   Parameter bottom is bottom : \forall x : t, order bottom x.
End Lattice.
```

```
Module Type LatticeWf.
   Parameter t : Set.
   Parameter eq : t \rightarrow t \rightarrow Prop.
   Parameter eq_prop : ...
                 (* eq (=) is a computable equivalence relation *)
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   Parameter order_prop : ...
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   Parameter join : t \rightarrow t \rightarrow t.
   Parameter join_prop : ...
                 (* join (\Box)  is a binary least upper bound *)
   Parameter meet : t \rightarrow t \rightarrow t.
   Parameter meet_prop : ...
                 (* meet (\Pi) is a binary greatest lower bound *)
   Parameter bottom : t.
                 (* bottom element to start iteration *)
   Parameter bottom.is_bottom : \forall x : t, order bottom x.
   Parameter termination_property : well_founded □
End Lattice.
```

Building lattices in Coq

We propose a technique based on the new Coq module system (inspired by the ML module system)

Lattice requirements are collected in a module contract

 Various functors are proposed in order to build lattices by composition of others

Lattice functors

Disjoint sum, linear sum, product

```
Module ProdLatWf (P1 :LatticeWf) (P2 :LatticeWf) :LatticeWf
with Definition t := P1.t * P2.t
with Definition eq := fun x y : (P1.t * P2.t) =>
P1.eq (fst x) (fst y) ∧ P2.eq (snd x) (snd y)
with Definition order := fun x y : (P1.t * P2.t) =>
P1.order (fst x) (fst y) ∧ P2.order (snd x) (snd y).
...
End ProdLatWf.
```

- List of elements from a lattice
- Map from a finite set of keys to a lattice (using efficient data-structures)

For each functor the most challenging proofs deals with the preservation of the termination criterion.

Building lattices in Coq

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The library deals as well with widening/narrowing

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Example :

Module AbSt :=
Product (Array (Array (List (Sum FiniteSet Constant))))
(Product (Array (Array (Array (List (Sum FiniteSet Constant)))))
(Array (Array (List (Sum FiniteSet Constant)))))

post-fixpoint computation by widening/narrowing $\nabla \Delta$ [Cousot & Cousot 77]

- we compute the limit of $x_0 = \bot, x_{n+1} = x_n \nabla f(x_n)$
- we reach a post-fixpoint *a* of *f*
- we compute the limit of $x_0 = \bot, x_{n+1} = x_n \Delta f(x_n)$
- we reach a post-fixpoint a' of f

$$lfp(f) \sqsubseteq a' \sqsubseteq a$$



Building lattices in Coq

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Module AbSt :=
Product (Array (Array (List (Sum FiniteSet Constant))))
(Product (Array (Array (Array (List (Sum FiniteSet Constant)))))
(Array (Array (List (Sum FiniteSet Constant)))))



- Each abstract value represents a property on concrete values
- This correspondence is formalised by a monotone concretisation function

 $\gamma: (\mathcal{D}^{\sharp}, \sqsubseteq) \longrightarrow_{m} (\wp(\mathcal{D}), \subseteq)$



- Each abstract value represents a property on concrete values
- This correspondence is formalised by a monotone concretisation function

 $\gamma: (\mathcal{D}^{\sharp}, \sqsubseteq) \longrightarrow_{m} (\wp(\mathcal{D}), \subseteq)$

 $x \subseteq \gamma(x^{\sharp})$ means " x^{\sharp} is a correct approximation of x"



- operational semantics $\cdot \rightarrow_P \cdot$ between states
- collecting semantics : $\llbracket P \rrbracket = \{ s \mid \exists s_0 \in S_{\text{init}}, s_0 \rightarrow_P^* s \}$
- ▶ we want to compute a correct approximation of **[***P*]]
 - a sound invariant s^{\sharp} on the reachable states : $\llbracket P \rrbracket \subseteq \gamma(s^{\sharp})$

Example : JVM operational semantics

$$\frac{\text{instructionAt}_{P}(m, pc) = \text{push } c}{\langle\!\langle h, \langle m, pc, l, s \rangle, sf \rangle\!\rangle \to \langle\!\langle h, \langle m, pc + 1, l, c :: s \rangle, sf \rangle\!\rangle}$$

 $\langle\!\langle h, \langle m, pc, l, loc :: V :: s \rangle, sf \rangle\!\rangle \to \langle\!\langle h, \langle m', 1, V, \varepsilon \rangle, \langle m, pc, l, s \rangle :: sf \rangle\!\rangle$



the analysis is specified as a solution of a post fixpoint problem

$$F_P^{\sharp}(s^{\sharp}) \sqsubseteq^{\sharp} s^{\sharp}$$

after partitioning : constraint system

$$\begin{cases} f_1^{\sharp}(s_1^{\sharp}, \dots, s_n^{\sharp}) & \sqsubseteq^{\sharp} & s_{i_1}^{\sharp} \\ & \ddots & \\ f_n^{\sharp}(s_1^{\sharp}, \dots, s_n^{\sharp}) & \sqsubseteq^{\sharp} & s_{i_n}^{\sharp} \end{cases}$$



$$\forall P, \ \forall s^{\sharp}, \quad F_P^{\sharp}(s^{\sharp}) \sqsubseteq^{\sharp} s^{\sharp} \ \Rightarrow \ \llbracket P \rrbracket \subseteq \gamma(s^{\sharp})$$

- easy proof, but tedious
- one proof by instruction : a long work for real langages



- collects all constraints in a program
- generic tool



Two techniques of iterative computation

traditional least (post)-fixpoint computation

$$\bot \to F_P^{\sharp}(\bot) \to F_P^{\sharp^2}(\bot) \to \cdots \operatorname{lfp}(F_P^{\sharp})$$

post-fixpoint computation by widening/narrowing with chaotic iterations
 In the two cases, a generic tool



 $In \ Caml: \ \text{analyse} : \text{program} \to \text{abstate}$

David Pichardie

Certified Proof Carrying Code by abstract interpretation

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Case studies

The previous framework has been used to develop several analyses

- A class analysis for a representative subset of bytecode Java [ESOP'04,TCS'04]
- A memory usage analysis for a representative subset of bytecode Java [FM'05]
- An interval analysis for the imperative fragment of bytecode Java [TCS'06]

But we are here a little too brave : termination is not mandatory to establish the soundness of an analysis

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2 From certified static analysis to certified PCC

A case study : array-bound checks polyhedral analysis

- Polyhedral abstract interpretation
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Checking a property with abstract interpretation If we want to ensure that a program P satisfies a property ϕ

 $\llbracket P \rrbracket \subseteq \varphi ?$

• we compute a post-fixpoint of F_P^{\sharp} (over-approximation of $\llbracket P \rrbracket$)

$$\forall s^{\sharp}, \ F_{P}^{\sharp}(s^{\sharp}) \sqsubseteq s^{\sharp} \Rightarrow \llbracket P \rrbracket \subseteq \gamma(s^{\sharp})$$

(2) we compute an under-approximation ϕ^{\sharp} of ϕ

 $\gamma(\varphi^{\sharp})\subseteq\varphi$

• we check that $\gamma(s^{\sharp})$ implies $\gamma(\phi^{\sharp})$ using an abstract order check

$$s^{\sharp} \sqsubseteq^{\sharp} \phi^{\sharp}$$

• by transitivity we conclude *P* satisfies ϕ

$$\llbracket P \rrbracket \subseteq \gamma(s^{\sharp}) \subseteq \gamma(\phi^{\sharp}) \subseteq \phi$$

PCC by abstract interpretation

Producer

Consumer



PCC by abstract interpretation

Producer

Consumer



PCC by abstract interpretation



Certified PCC by abstract interpretation

Producer

Consumer



Certified PCC by abstract interpretation

Producer

Consumer











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Polyhedral abstract interpretation

Automatic discovery of linear restraints among variables of a program. P. Cousot and N. Halbwachs. POPL'78.



Patrick Cousot



Nicolas Halbwachs

Polyhedral analysis seeks to discover invariant linear equality and inequality relationships among the variables of an imperative program.

Convex polyhedra

A convex polyhedron can be defined algebraically as the set of solutions to a system of linear inequalities.

Geometrically, it can be defined as a finite intersection of half-spaces.



State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 . x = 0; y = 0;



State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

```
x = 0; v = 0;
        \{x = 0 \land y = 0\}
while (x<6) {
  if (?) {
        \{x = 0 \land y = 0\}
     y = y + 2;
  };
  x = x+1;
```

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

At junction point, we over approximate union by a convex union.

$$x = x+1;$$

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

$$x = 0; y = 0; {x = 0 \land y = 0} while (x<6) { if (?) { {x = 0 \land y = 0} y = y+2; {x = 0 \land y = 2} }; {x = 0 \land 0 \leq y \leq 2}$$

At junction point, we over approximate union by a convex union.

$$x = x+1;$$

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

$$x = 0; y = 0;
{x = 0 \land y = 0}$$
while (x<6) {
 if (?) {
 {x = 0 \land y = 0}
 y = y+2;
 {x = 0 \land y = 2}
 };
 {x = x+1;
 {x = 1 \land 0 \leq y \leq 2}
 }
}

}

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .



State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .



State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

$$x = 0; y = 0;$$

$$\{x \le 1 \land 0 \le y \le 2x\}$$
while (x<6) {
if (?) {
 {x \le 1 \land 0 \le y \le 2x}}
 y = y+2;
 {x = 0 \land y = 2}
};
 {x = x+1;
 {x = 1 \land 0 \le y \le 2}

}

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

$$x = 0; y = 0;$$

$$\{x \leq 1 \land 0 \leq y \leq 2x\}$$
while (x<6) {
if (?) {
 {x \leq 1 \land 0 \leq y \leq 2x}}
 y = y+2;
 {x \leq 1 \land 2 \leq y \leq 2x+2}
};

$$(x = 0 \land 0 \leq y \leq 2)$$

}

$$x = x+1; \{x = 1 \land 0 \leq y \leq 2\}$$

V

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

```
x = 0; v = 0;
           \{x \leq 1 \land 0 \leq y \leq 2x\}
while (x<6) {
   if (?) {
           \{x \leq 1 \land 0 \leq y \leq 2x\}
      v = v + 2;
           \{x \leq 1 \land 2 \leq y \leq 2x+2\}
   };
           \{x \leq 1 \land 0 \leq y \leq 2x\}
                                \biguplus \{ x \le 1 \land 2 \le v \le 2x + 2 \}
   x = x+1;
           \{x = 1 \land 0 \le y \le 2\}
```

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

}

 $\{x = 1 \land 0 \leq y \leq 2\}$

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .



State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

At loop headers, we use heuristics (widening) to ensure finite convergence.

```
x = 0; v = 0;
           \{x \leq 1 \land 0 \leq y \leq 2x\}
                                \nabla \{ x \leq 2 \land 0 \leq y \leq 2x \}
while (x<6) {
   if (?) {
           \{x \leq 1 \land 0 \leq y \leq 2x\}
      v = v + 2;
           \{x \leq 1 \land 2 \leq y \leq 2x+2\}
   };
            \{0 \le x \le 1 \land 0 \le y \le 2x + 2\}
   x = x+1;
           \{1 \le x \le 2 \land 0 \le y \le 2x\}
```

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .



At loop headers, we use heuristics (widening) to ensure finite convergence.

```
x = 0; y = 0;\{0 \le y \le 2x\}
```

```
while (x<6) {

if (?) {

 \{x \le 1 \land 0 \le y \le 2x\} \}

y = y+2;

 \{x \le 1 \land 2 \le y \le 2x+2\}

};

 \{0 \le x \le 1 \land 0 \le y \le 2x+2\}
```

$$\begin{array}{rl} x &=& x+1 \text{;} \\ & & \{1 \leqslant x \leqslant 2 \ \land \ 0 \leqslant y \leqslant 2x\} \end{array}$$

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

By propagation we obtain a post-fixpoint

```
x = 0; v = 0;
            \{0 \leq y \leq 2x\}
while (x < 6) {
   if (?) {
            \{0 \le y \le 2x \land x \le 5\}
       v = v + 2;
            \{2 \leqslant y \leqslant 2x + 2 \land x \leqslant 5\}
   };
            \{0 \le y \le 2x + 2 \land 0 \le x \le 5\}
   x = x+1;
            \{0 \leq y \leq 2x \land 1 \leq x \leq 6\}
}
            \{0 \leq y \leq 2x \land 6 \leq x\}
```

State properties are over-approximated by convex polyhedra in \mathbb{Q}^2 .

By propagation we obtain a post-fixpoint which is enhanced by downward iteration.

```
x = 0; v = 0;
           \{0 \le y \le 2x \land x \le 6\}
while (x<6) {
   if (?) {
           \{0 \le v \le 2x \land x \le 5\}
      v = v + 2;
           \{2 \le v \le 2x + 2 \land x \le 5\}
   };
           \{0 \le y \le 2x + 2 \land 0 \le x \le 5\}
   x = x+1;
           \{0 \le y \le 2x \land 1 \le x \le 6\}
}
           \{0 \leq v \leq 2x \land 6 = x\}
```

A more complex example.

The analysis accepts to replace some constants by parameters.

$$\begin{array}{l} \mathbf{x} = 0; \ \mathbf{y} = \mathbf{A}; \\ \left\{A \leqslant \mathbf{y} \leqslant 2\mathbf{x} + A \land \mathbf{x} \leqslant \mathbf{N}\right\} \\ \begin{array}{l} \textbf{while} \ (\mathbf{x} < \mathbf{N}) \ \left\{ \\ \textbf{if} \ (?) \ \left\{ \\ \left\{A \leqslant \mathbf{y} \leqslant 2\mathbf{x} + A \land \mathbf{x} \leqslant \mathbf{N} - 1\right\} \\ \mathbf{y} = \mathbf{y} + 2; \\ \left\{A + 2 \leqslant \mathbf{y} \leqslant 2\mathbf{x} + A + 2 \land \mathbf{x} \leqslant \mathbf{N} - 1\right\} \\ \right\}; \\ \left\{A \leqslant \mathbf{y} \leqslant 2\mathbf{x} + A + 2 \land \mathbf{0} \leqslant \mathbf{x} \leqslant \mathbf{N} - 1\right\} \\ \mathbf{x} = \mathbf{x} + 1; \\ \left\{A \leqslant \mathbf{y} \leqslant 2\mathbf{x} + A + 2 \land \mathbf{0} \leqslant \mathbf{x} \leqslant \mathbf{N} - 1\right\} \\ \mathbf{x} = \mathbf{x} + 1; \\ \left\{A \leqslant \mathbf{y} \leqslant 2\mathbf{x} + A \land \mathbf{1} \leqslant \mathbf{x} \leqslant \mathbf{N}\right\} \\ \left\{A \leqslant \mathbf{y} \leqslant 2\mathbf{x} + A \land \mathbf{N} = \mathbf{x}\right\} \end{array}$$

The four polyhedra operations

- - over-approximates the concrete union in junction points
- $\cap \in \mathbb{P}_n \times \mathbb{P}_n \to \mathbb{P}_n$: intersection
 - over-approximates the concrete intersection after a conditional intruction
- $\llbracket x := e \rrbracket \in \mathbb{P}_n \to \mathbb{P}_n : affine transformation$
 - over-approximates the affectation of a variable by a linear expression
- $\nabla \in \mathbb{P}_n \times \mathbb{P}_n \to \mathbb{P}_n$: widening
 - ensures (and accelerate) convergence of (post-)fixpoint iteration
 - includes heuristics to infer loop invariants

x = 0; v = 0; $P_0 = \llbracket \mathbf{v} := 0 \rrbracket \llbracket \mathbf{x} := 0 \rrbracket (\mathbb{O}^2) \lor P_4$ while (x<6) { **if** (?) { $P_1 = P_0 \cap \{x < 6\}$ v = v + 2; $P_2 = [v := v + 2](P_1)$ }; $P_3 = P_1 \uplus P_2$ x = x+1; $P_4 = [x := x + 1](P_3)$ $P_5 = P_0 \cap \{x \ge 6\}$

Library for manipulating polyhedra

- ▶ Parma Polyhedra Library² (PPL), NewPolka : complex C/C++ libraries
- They rely on the Double Description Method
 - polyhedra are managed using two representations in parallel

$$P = \begin{cases} x \ge -1 \\ x - y \ge -3 \\ 2x + y \ge -2 \\ x + 2y \ge -4 \end{cases}$$

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$$P = \begin{cases} \lambda_1 s_1 + \lambda_2 s_2 + \lambda_3 s_3 + \mu_1 r_1 + \mu_2 r_2 \in \mathbb{Q}^2 \\ \lambda_1 + \lambda_2 + \lambda_3 = 1 \end{cases}$$

$$P = \begin{cases} \lambda_1 s_1 + \lambda_2 s_2 + \lambda_3 s_3 + \mu_1 r_1 + \mu_2 r_2 \in \mathbb{Q}^2 \\ \lambda_1 + \lambda_2 + \lambda_3 = 1 \end{cases}$$

- operations efficiency strongly depends on the chosen representations, so they keep both
- We really don't want this in a Trusted Computes Base!
- ▶ But we really don't want to certify this C/C++ libraries neither !

²Previous tutorial on polyhedra partially comes from http://www.cs.unipr.it/ppl/ < 7 >

Outline

Certified static analysis

- Introduction
- Building a certified static analyser

2 From certified static analysis to certified PCC

A case study : array-bound checks polyhedral analysis

- Polyhedral abstract interpretation
- Certified polyhedral abstract interpretation
- Application : a polyhedral bytecode analyser

Polyhedra in a PCC framework

Join work with F. Besson, T. Jensen and T. Turpin

Develop a checker of analysis results

- minimize the number of operations to certify
- avoid (some of the most) costly operations

The checker will receive a post-fixpoint + a *certificate* of certain polyhedra inclusions to be verified by the checker

We develop one checker for a rich abstract domain based on Farkas lemma

Can accommodate invariants that are obtained

- automatically (intervals, polyhedra,...)
- ▶ by user-annotation (polynomials, ...)

A minimal polyhedral tool-kit

For efficiency and simplicity,

- Polyhedra are represented in constraint form prefixed by existentially quantified variables
- Constraints are never normalised

Abstract operators are much simpler :

Assignments do not trigger quantifier elimination;

 $[[x := e]](P) = \exists x', P[x'/x] \land x = e[x'/x]$

- Intersection is just syntactic union of constraints;
- (Over-approximations) of Convex Hulls are given as untrusted invariants;

 $isUpperBound(P, Q, UB) \equiv P \sqsubseteq UB \land Q \sqsubseteq UB$

Polyhedra inclusion is guided by a certificate;

 $isIncluded(P,Q,Cert) \Rightarrow P \sqsubseteq Q$

A case study : array-bound checks polyhedral analysis Cer

Certified PCC by abstract interpretation

Producer

Consumer



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Consumer



Checking polyhedra inclusion using certificates

Inclusion reduces to a conjunction of emptiness problems

$$P \subseteq \{q_1 \ge c_1, \ldots q_m \ge c_m\}$$

if and only if $P \cup \{-q_1 \ge -c_1 + 1\} = \emptyset \land \ldots \land P \cup \{-q_m \ge -c_m + 1\} = \emptyset$

Each emptiness reduces to unsatisfiability of linear constraints

$$\forall x_1,\ldots,x_n,\neg \left(\begin{array}{c}a_{1,1},\ldots,a_{1,n}\\\vdots\\a_{m,1},\ldots,a_{m,n}\end{array}\right)\cdot \left(\begin{array}{c}x_1\\\vdots\\x_n\end{array}\right) \geqslant \left(\begin{array}{c}b_1\\\vdots\\b_m\end{array}\right)$$

Unsatisfiability certificates

Lemma (Farkas's Lemma (Variant))

Let $A \in \mathbb{Q}^{m \times n}$ and $b \in \mathbb{Q}^n$.

 $\forall x \in \mathbb{Q}^n, \neg (A \cdot x \ge b)$

if and only if

$$\exists (cert \in \mathbb{Q}^m), cert \ge \bar{0}, such that \begin{cases} A^t \cdot cert = \bar{0} \\ b^t \cdot cert > 0 \end{cases}$$

Soundness of certificates is easy (\Leftarrow)

Démonstration.

Suppose	$A \cdot x \ge b.$
Since <i>cert</i> $\geq \overline{0}$ we have	$(A \cdot x)^t \cdot cert \ge b^t \cdot cert.$
Now	$x^t \cdot (A^t \cdot cert) = (x^t \cdot A^t) \cdot cert = (A \cdot x)^t \cdot cert.$
Hence	$x^t \cdot (A^t \cdot cert) \ge b^t \cdot cert.$
Therefore	$x^t.\overline{0} = 0 \ge b^t \cdot cert > 0 \rightarrow $ contradiction.

Certificate checking

Example

Using the certificate *cert* = (1;1;5), check that $\begin{pmatrix} 1 & 1 \\ -1 & 4 \\ 0 & -1 \end{pmatrix} \cdot \begin{pmatrix} x \\ y \end{pmatrix} \ge \begin{pmatrix} 2 \\ 1 \\ 1 \end{pmatrix}$ has no solutions.

Checking algorithm.

• Check
$$\begin{pmatrix} 1 & 1 \\ -1 & 4 \\ 0 & 1 \end{pmatrix}^t \cdot \begin{pmatrix} 1 \\ 1 \\ 5 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$$

• Check $\begin{pmatrix} 2 \\ 1 \\ 1 \end{pmatrix}^t \cdot \begin{pmatrix} 1 \\ 1 \\ 5 \end{pmatrix} > 0.$

Checking time complexity is quadratic (matrix-vector product).

Certificate generation by linear programming

Let $A \in \mathbb{Q}^{m \times n}$ and $b \in \mathbb{Q}^n$, the set of unsatisfiability certificates is defined as

$$Cert = \left\{ c \middle| \begin{array}{c} c \geqslant 0 \\ b^t \cdot c > 0 \\ A^t \cdot c = 0 \end{array} \right\}$$

Finding an extremal certificate is a linear programming problem

$$min\{c^t \cdot \overline{1} \mid c \in Cert\}$$

that can be solved

- Over N, by linear integer programming algorithms (Bad complexity, smallest certificate)
- Over Q, by the Simplex (or interior point methods) (Good complexity and small certificate – in practise)

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Application : a polyhedral bytecode analyser

We have applied this technique for a Java-like bytecode language with

- (unbounded) integers,
- dynamically created (unidimensional) array of integers,
- static methods (procedures),
- static fields (global variables).

Linear invariant are used to statically checks that all array accesses are within bounds.

It allows to remove the dynamic check used by standard JVM without risk of buffer overflow attack.

In practice we could only try to detect statically some valid array accesses and keep dynamic checks for the other accesses.

Example : binary search

```
static int bsearch(int key, int[] vec) {
    int low = 0, high = vec.length - 1;
    while (0 < high-low) {
        int mid = (low + high) / 2;
        if (key == vec[mid]) return mid;
        else if (key < vec[mid]) high = mid - 1;
        else low = mid + 1;
    }
    return -1;</pre>
```

Example : binary search

```
// PRE: 0 < |vec_0|
static int bsearch(int key, int[] vec) {
           // (I_1) key<sub>0</sub> = key \land |vec<sub>0</sub>| = |vec| \land 0 \leq |vec<sub>0</sub>|
                 int low = 0, high = vec.length - 1;
            // (I_2) key<sub>0</sub> = key \land |vec<sub>0</sub>| = |vec| \land 0 \leq low \leq high + 1 \leq |vec<sub>0</sub>|
               while (0 < high-low) {</pre>
           // (I<sub>3</sub>) key<sub>0</sub> = key \land |vec<sub>0</sub>| = |vec| \land 0 < low < high < |vec<sub>0</sub>|
                                 int mid = (low + high) / 2;
           if (key == vec[mid]) return mid;
                                else if (key < vec[mid]) high = mid - 1;</pre>
                                else low = mid + 1;
                //(I_5) | \text{key}_0 = \text{key} \land | \text{vec}_0 | = | \text{vec} | \land -2 + 3 \cdot \text{low} \le 2 \cdot \text{high} + \text{mid} \land -1 + 2 \cdot \text{low} \le \text{high}
{\tt mid} \wedge -1 + {\tt low} \leq {\tt mid} \leq 1 + {\tt high} \wedge {\tt high} \leq {\tt low} + {\tt mid} \wedge 1 + {\tt high} \leq 2 \cdot {\tt low} + {\tt mid} \wedge 1 + {\tt low} - {\tt mid} \wedge 1 + {\tt mid} \wedge 1 + {\tt low} - {\tt mid} \wedge 1 + {\tt mid} \wedge 1 + {\tt low} - {\tt mid} \wedge 1 + {\tt mid} \wedge 1 + {\tt low} - {\tt mid} \wedge 1 + {\tt mid} \wedge 
|vec_0| + high \wedge 2 \leq |vec_0| \wedge 2 + high + mid \leq |vec_0| + low
           // (I_6) | \text{key}_0 = \text{key} \land | \text{vec}_0 | = | \text{vec} | \land \text{low} - 1 \le \text{high} \le \text{low} \land 0 \le \text{low} \land \text{high} < | \text{vec}_0 |
                return -1;
\rightarrow // POST: -1 < res < |vec_0|
```

This is a correct post-fixpoint but there is too many informations (too precise)!

Example : binary search

```
// PRE: True
static int bsearch(int key, int[] vec) {
   //((I'_1) | vec_0 | = | vec | \land 0 < | vec_0 |
     int low = 0, high = vec.length - 1;
   //((I'_2) | \operatorname{vec}_0| = |\operatorname{vec}| \land 0 \le \operatorname{low} \le \operatorname{high} + 1 \le |\operatorname{vec}_0|
    while (0 < high-low) {</pre>
   // (I'_2) |vec_0| = |vec| \land 0 \le low \le high \le |vec_0|
          int mid = (low + high) / 2;
   //((I'_4) |vec| - |vec_0| = 0 \land low > 0 \land mid - low > 0 \land
   // 2 \cdot \text{high} - 2 \cdot \text{mid} - 1 \ge 0 \land |\text{vec}_0| - \text{high} - 1 \ge 0
         if (key == vec[mid]) return mid;
         else if (kev < vec[mid]) high = mid - 1;</pre>
         else low = mid + 1:
   // (I_5') | | \mathsf{vec}_0 | = | \mathsf{vec} | \wedge -1 + \mathsf{low} \leq \mathsf{high} \wedge 0 \leq \mathsf{low} \wedge 5 + 2 \cdot \mathsf{high} \leq 2 \cdot | \mathsf{vec} |
   //(I_{6}') 0 \leq |vec_{0}|
    return -1;
) // POST: -1 < res < |vec_0|
```

This one is less precise but sufficient to ensure the security policy.

Some preliminary benchmarks

	.class	certificates		checking time	
Program		before	after	before	after
BSearch	515	22	12	0.005	0.007
BubbleSort	528	15	14	0.0005	0.0003
HeapSort	858	72	32	0.053	0.025
QuickSort	833	87	44	0.54	0.25

Class files are given in bytes, certificates in number of constraints, time in seconds.

The two checking times in the last column give the checking time with and without fixpoint pruning.

Foudational PCC by reflection

The generated certificate is a compressed post-fixpoint

- small certificate,
- but very adhoc checker.

In Foudational PCC, you want to obtain a machine-checked proof of Safe(p)

- general checker (as Coq)
- but the proof λ-term may be bigger than the adhoc certificate.

This can be done using reflection because we prove

checker_correct:

 \forall (p : program) (cert : positive), checker p cert = true \rightarrow safe p

With a foudational proof of the same size as the adhoc certificate !

```
checker_correct prog cert (refl_equal true)
```

demo