Introduction to Type Theory August 2007 Types Summer School Bertinoro, It

> Herman Geuvers Nijmegen NL

Lecture 1: Introduction, Overview, Simple Type Theory

Types are not sets.

- Types are a bit like sets, but: ...
- types give "syntactic information"

$$3 + (7 * 8)^5$$
 : nat

• sets give "semantic information"

$$3 \in \{n \in \mathbb{N} \mid \forall x, y, z \in \mathbb{N}^+ (x^n + y^n \neq z^n)\}$$

Sets are about semantics:

$$3 \in \{n \in \mathbb{N} \mid \forall x, y, z \in \mathbb{N}^+ (x^n + y^n \neq z^n)\}$$

because there are no positive x, y, z such that $x^n + y^n = z^n$.

- set theory talks about what things exist (semantics, ontology). A set X such that for all sets Y with |Y| < |X|, $|2^Y| < |X|$?
- sets are extensional:

$$\{n \in \mathbb{N} \mid \exists x, y, z \in \mathbb{N}^+ (x^n + y^n = z^n)\} = \{0, 1, 2\}$$

- sets are "collections of things".
- membership is undecidable

Types are about syntax:

$$3+(7\ast8)^5:\mathsf{nat}$$

because 3, 7, 8 are of type nat and the operations take objects of type nat to nat.

$$\frac{1}{2}\sum_{n=0}^{\infty}2^{-n}:\mathbb{N}$$

is not a typing judgment.

- type theory talks about how things can be constructed (syntax, formal language, expressions)
- types are intensional

 $\{n|\exists x, y, z \in \mathsf{nat}^+(x^n + y^n \neq z^n)\} \neq \mathsf{nat}.\{n|n = 0 \lor n = 1 \lor n = 2\}$

- types are "predicates over expressions"
- typing (and type checking) is decidable

Note. The distinction between syntax and semantics is not always as sharp as it seems.

The more we know about a model, the more we can formalize of it and "turn it into syntax".

We can turn

$$\{n \in \mathbb{N} \mid \exists x, y, z \in \mathbb{N}^+ (x^n + y^n = z^n)\}$$

into a (syntactic) type, with decidable type checking, if we take as its terms pairs

$$\langle n, p \rangle : \{ n \in \mathbb{N} \mid \exists x, y, z \in \mathbb{N}^+ (x^n + y^n = z^n) \}$$

where p is a proof of $\exists x, y, z \in \mathbb{N}^+(x^n + y^n = z^n)$.

Proof checking is decidable; proof finding not.

Overview of these lectures.

Problem: there so many type systems and so many ways of defining them

Central theme: two readings of typing judments

M:A

- M is a term (program, expression) of the data type A
- M is a proof (derivation) of the formula A

Curry-Howard isomorphism of formulas-as-types (and proofs-as-terms) Overview of these lectures.

Logic		TT a la	AKA	TT a la
		Church		Curry
PROP	$\stackrel{f-as-t}{\longrightarrow}$	$\lambda { ightarrow}$	STT	$\lambda { ightarrow}$
PROP2	$\stackrel{f-as-t}{\longrightarrow}$	$\lambda 2$	system F	$\lambda 2$

					Extra features!
PRED	$\stackrel{f-as-t}{\longrightarrow}$	λP	LF	$f \xrightarrow{-as-t}$	Many logics
HOL	$\stackrel{f-as-t}{\longrightarrow}$	λHOL			language of HOL is STT
HOL	$\stackrel{f-as-t}{\longrightarrow}$	СС	Calc. of Constr.		
		PTS			different PTSs for HOL

Simplest system: $\lambda \rightarrow$ or STT. Just arrow types

$$\mathsf{Typ} := \mathsf{TVar} \mid (\mathsf{Typ} \rightarrow \mathsf{Typ})$$

• Examples: $(\alpha \rightarrow \beta) \rightarrow \alpha$, $(\alpha \rightarrow \beta) \rightarrow ((\beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \gamma))$

- Brackets associate to the right and outside brackets are omitted: $(\alpha \rightarrow \beta) \rightarrow (\beta \rightarrow \gamma) \rightarrow \alpha \rightarrow \gamma$
- Types are denoted by σ, τ, \ldots

Terms:

- typed variables $x_1^{\sigma}, x_2^{\sigma}, \ldots$, countably many for every σ .
- application: if $M: \sigma \rightarrow \tau$ and $N: \sigma$, then $(MN): \tau$
- abstraction: if $P: \tau$, then $(\lambda x^{\sigma}.P): \sigma \rightarrow \tau$

Examples:

$$\lambda x^{\sigma} . \lambda y^{\tau} . x \quad : \quad \sigma \to \tau \to \sigma$$
$$\lambda x^{\alpha \to \beta} . \lambda y^{\beta \to \gamma} . \lambda z^{\alpha} . y(xz) \quad : \quad (\alpha \to \beta) \to (\beta \to \gamma) \to \alpha \to \gamma$$
$$\lambda x^{\alpha} . \lambda y^{(\beta \to \alpha) \to \alpha} . y(\lambda z^{\beta} . x) \quad : \quad \alpha \to ((\beta \to \alpha) \to \alpha) \to \alpha$$

For every type there is a term of that type:

 $x^{\sigma}:\sigma$

Not for every type there is a closed term of that type:

 $(\alpha \rightarrow \alpha) \rightarrow \alpha$ is not inhabited

[That is: there is no closed term of type $(\alpha \rightarrow \alpha) \rightarrow \alpha$.]

Typed Terms versus Type Assignment:

• With typed terms also called typing à la Church, we have terms with type information in the λ -abstraction

$$\lambda x^{\alpha}.x: \alpha \rightarrow \alpha$$

As a consequence:

- Terms have unique types,
- The type is directly computed from the type info in the variables.
- With typed assignment also called typing à la Curry, we assign types to untyped λ -terms

$$\lambda x.x: \alpha {\rightarrow} \alpha$$

As a consequence:

- Terms do not have unique types,
- A principal type can be computed using unification.

Examples:

• Typed Terms:

$$\lambda x^{\alpha} . \lambda y^{(\beta \to \alpha) \to \alpha} . y(\lambda z^{\beta} . x))$$

has only the type $\alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$

• Type Assignment:

$$\lambda x.\lambda y.y(\lambda z.x))$$

can be assigned the types

$$- \alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \alpha) \rightarrow \alpha$$
$$- \alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \gamma) \rightarrow \gamma$$
$$- (\alpha \rightarrow \alpha) \rightarrow ((\beta \rightarrow \alpha \rightarrow \alpha) \rightarrow \gamma) \rightarrow \gamma$$
$$- \dots$$

with $\alpha \rightarrow ((\beta \rightarrow \alpha) \rightarrow \gamma) \rightarrow \gamma$ being the principal type

Connection between Church and Curry typed STT:

Definition The erasure map |-| from STT à la Church to STT à la Curry is defined by erasing all type information.

$$|x^{\alpha}| := x$$
$$|MN| := |M| |N$$
$$|\lambda x^{\alpha} . M| := \lambda x . |M|$$

So, e.g.

$$|\lambda x^{\alpha}.\lambda y^{(\beta \to \alpha) \to \alpha}.y(\lambda z^{\beta}.x))| = \lambda x.\lambda y.y(\lambda z.x))$$

Theorem If $M : \sigma$ in STT à la Church, then $|M| : \sigma$ in STT à la Curry. Theorem If $P : \sigma$ in STT à la Curry, then there is an M such that $|M| \equiv P$ and $M : \sigma$ in STT à la Church. Example of computing a principal type:

$$\lambda x^{\alpha} . \lambda y^{\beta} . \underbrace{y^{\beta} (\lambda z^{\gamma} . \underbrace{y^{\beta} x^{\alpha}})}_{\varepsilon}$$

δ

- 1. Assign type vars to all variables: $x : \alpha, y : \beta, z : \gamma$.
- 2. Assign type vars to all applicative subterms: $y x : \delta$, $y(\lambda z.y x) : \varepsilon$.
- 3. Generate equations between types, necessary for the term to be typable: $\beta = \alpha \rightarrow \delta$ $\beta = (\gamma \rightarrow \delta) \rightarrow \varepsilon$
- 4. Find a most general unifier (a substitution) for the type vars that solves the equations: $\alpha := \gamma \rightarrow \delta, \ \beta := (\gamma \rightarrow \delta) \rightarrow \varepsilon, \ \delta := \varepsilon$
- 5. The principal type of $\lambda x.\lambda y.y(\lambda z.yx)$ is now

$$(\gamma \rightarrow \varepsilon) \rightarrow ((\gamma \rightarrow \varepsilon) \rightarrow \varepsilon) \rightarrow \varepsilon$$

Exercise: Compute principal types for $\mathbf{S} := \lambda x \cdot \lambda y \cdot \lambda z \cdot x z(y z)$ and for $M := \lambda x \cdot \lambda y \cdot x(y(\lambda z \cdot x z z))(y(\lambda z \cdot x z z)).$

Definition

- A type substitution (or just substitution) is a map S from type variables to types.
 Note: we can compose substitutions.
- A unifier of the types σ and τ is a substitution that "makes σ and τ equal", i.e. an S such that $S(\sigma) = S(\tau)$
- A most general unifier (or mgu) of the types σ and τ is the "simplest substitution" that makes σ and τ equal, i.e. an S such that
 - $S(\sigma) = S(\tau)$
 - for all substitutions T such that $T(\sigma) = T(\tau)$ there is a substitution R such that $T = R \circ S$.

All these notions generalize to lists of types $\sigma_1, \ldots, \sigma_n$ in stead of pairs σ, τ .

Theorem Computability of most general unifiers

There is an algorithm U that, when given types $\sigma_1, \ldots, \sigma_n$ outputs

- A most general unifier of $\sigma_1, \ldots, \sigma_n$, if $\sigma_1, \ldots, \sigma_n$ can be unified.
- "Fail" if $\sigma_1, \ldots, \sigma_n$ can't be unified.

Definition σ is a principal type for the untyped λ -term M if

- $M: \sigma$ in STT à la Curry
- for all types τ , if $M: \tau$, then $\tau = S(\sigma)$ for some substitution S.

Theorem Principal Types There is an algorithm PT that, when given an (untyped) λ -term M, outputs

- A principal type σ such that $M : \sigma$ in STT à la Curry.
- "Fail" if M is not typable in STT à la Curry.

Typical problems one would like to have an algorithm for:

- $M: \sigma$? Type Checking Problem **TCP**
- M: Type Synthesis or Type Assgnment Problem TSP, TAP
- ?: σ Type Inhabitation Problem (by a closed term) TIP

For $\lambda \rightarrow$, all these problems are decidable,

both for the Curry style and for the Church style presentation.

Remarks:

- TCP and TSP are (usually) equivalent: To solve $MN : \sigma$, one has to solve N :? (and if this gives answer τ , solve $M : \tau \rightarrow \sigma$).
- For Curry systems, TCP and TSP soon become undecidable if we go beyond $\lambda \rightarrow$.
- TIP is undecidable for most extensions of λ→, as it corresponds to provability in some logic.

In this course we will mainly focus on the Church formulation of simple type theory:terms with type information.

Inductive definition of the terms:

- typed variables $x_1^{\sigma}, x_2^{\sigma}, \ldots$, countably many for every σ .
- application: if $M:\sigma{\rightarrow}\tau$ and $N:\sigma,$ then $(MN):\tau$
- abstraction: if $P: \tau$, then $(\lambda x^{\sigma}.P): \sigma \rightarrow \tau$

Alternative: Inductive definition of the terms in rule form:

$$\frac{M:\sigma \to \tau \ N:\sigma}{MN:\tau} \qquad \frac{P:\tau}{\lambda x^{\sigma}.P:\sigma \to \tau}$$

Advantage: We also have a derivation tree, a proof of the fact that the term has that type.

We can reason over derivations.

Simple type theory a la Church.

Formulation with contexts to declare the free variables:

 $x_1:\sigma_1,x_2:\sigma_2,\ldots,x_n:\sigma_n$

is a context, usually denoted by Γ . Derivation rules of $\lambda \rightarrow$ (à la Church):

$x{:}\sigma\in\Gamma$	$\Gamma \vdash M : \sigma {\rightarrow} \tau \ \Gamma \vdash N : \sigma$	$\Gamma, x{:}\sigma \vdash P:\tau$
$\Gamma \vdash x : \sigma$	$\Gamma \vdash MN : \tau$	$\overline{\Gamma \vdash \lambda x : \sigma . P : \sigma \!\rightarrow\! \tau}$

 $\Gamma \vdash_{\lambda \to} M : \sigma \text{ if there is a derivation using these rules with conclusion}$ $\Gamma \vdash M : \sigma$

Derivation rules Church vs. Curry

$\lambda \rightarrow$ (à la Church):

$x{:}\sigma\in\Gamma$	$\Gamma \vdash M : \sigma {\rightarrow} \tau \ \Gamma \vdash N : \sigma$	$\Gamma, x{:}\sigma \vdash P:\tau$
$\Gamma \vdash x : \sigma$	$\Gamma \vdash MN: \tau$	$\overline{\Gamma \vdash \lambda x : \sigma . P : \sigma {\rightarrow} \tau}$

 $\lambda \rightarrow$ (à la Curry):

$x{:}\sigma\in\Gamma$	$\Gamma \vdash M: \sigma {\rightarrow} \tau \ \Gamma \vdash N: \sigma$	$\Gamma, x{:}\sigma \vdash P:\tau$
$\overline{\Gamma \vdash x : \sigma}$	$\Gamma \vdash MN: \tau$	$\overline{\Gamma \vdash \lambda x.P: \sigma { ightarrow} au}$

Exercise: Give a full derivation of

$$\vdash \lambda x.\lambda y.y(\lambda z.y\,x): (\gamma {\rightarrow} \varepsilon) {\rightarrow} ((\gamma {\rightarrow} \varepsilon) {\rightarrow} \varepsilon) {\rightarrow} \varepsilon$$

in Curry style $\lambda \rightarrow$

Formulas-as-Types (Curry, Howard):

There are two readings of a judgement $M:\sigma$

- 1. term as algorithm/program, type as specification: M is a function of type σ
- 2. type as a proposition, term as its proof: M is a proof of the proposition σ
- There is a one-to-one correspondence:

typable terms in $\lambda \rightarrow \simeq$ derivations in minimal proposition logic

• The judgement

$$x_1: \tau_1, x_2: \tau_2, \ldots, x_n: \tau_n \vdash M: \sigma$$

can be read as

M is a proof of σ from the assumptions $\tau_1, \tau_2, \ldots, \tau_n$.

Example

$$\frac{[\alpha \rightarrow \beta \rightarrow \gamma]^{3} [\alpha]^{1}}{\beta \rightarrow \gamma} \frac{[\alpha \rightarrow \beta]^{2} [\alpha]^{1}}{\beta}$$

$$\frac{\frac{\gamma}{\alpha \rightarrow \gamma} 1}{\frac{\alpha \rightarrow \gamma}{(\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} 2}$$

$$\frac{(\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma}{\beta} 3$$

 $\simeq \qquad \begin{array}{l} \lambda x: \alpha \to \beta \to \gamma . \lambda y: \alpha \to \beta . \lambda z: \alpha . x z(yz) \\ : (\alpha \to \beta \to \gamma) \to (\alpha \to \beta) \to \alpha \to \gamma \end{array}$

Example

$$\begin{array}{c} \displaystyle \frac{[x:\alpha \rightarrow \beta \rightarrow \gamma]^3 \ [z:\alpha]^1}{xz:\beta \rightarrow \gamma} & \displaystyle \frac{[y:\alpha \rightarrow \beta]^2 \ [z:\alpha]^1}{yz:\beta} \\ \\ \displaystyle \frac{xz(yz):\gamma}{\overline{\lambda z:\alpha.xz(yz):\gamma}} \\ 1 \\ \displaystyle \frac{\lambda y:\alpha \rightarrow \beta.\lambda z:\alpha.xz(yz):(\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma}{\lambda y:\alpha \rightarrow \beta.\lambda z:\alpha.xz(yz):(\alpha \rightarrow \beta \rightarrow \gamma) \rightarrow (\alpha \rightarrow \beta) \rightarrow \alpha \rightarrow \gamma} \\ \end{array}$$

Exercise: Give the derivation that corresponds to

$$\lambda x.\lambda y.y(\lambda z.y\,x):(\gamma \rightarrow \varepsilon) \rightarrow ((\gamma \rightarrow \varepsilon) \rightarrow \varepsilon) \rightarrow \varepsilon$$

Computation:

- β -reduction: $(\lambda x:\sigma.M)P \longrightarrow_{\beta} M[P/x]$
- η -reduction: $\lambda x: \sigma. Mx \longrightarrow_{\eta} M$ if $x \notin FV(M)$

Cut-elimination in minimal logic = β -reduction in $\lambda \rightarrow$.



Properties of $\lambda \rightarrow$.

- Uniqueness of types If $\Gamma \vdash M : \sigma$ and $\Gamma \vdash M : \tau$, then $\sigma = \tau$.
- Subject Reduction If $\Gamma \vdash M : \sigma$ and $M \longrightarrow_{\beta\eta} N$, then $\Gamma \vdash N : \sigma$.
- Strong Normalization If $\Gamma \vdash M : \sigma$, then all $\beta\eta$ -reductions from M terminate.
- Substitution property

If $\Gamma, x : \tau, \Delta \vdash M : \sigma, \Gamma \vdash P : \tau$, then $\Gamma, \Delta \vdash M[P/x] : \sigma$.

• Thinning

If $\Gamma \vdash M : \sigma$ and $\Gamma \subseteq \Delta$, then $\Delta \vdash M : \sigma$.

• Strengthening

If $\Gamma, x : \tau \vdash M : \sigma$ and $x \notin \mathsf{FV}(M)$, then $\Gamma \vdash M : \sigma$.

Normalization of β for $\lambda \rightarrow$. Note:

- Terms may get larger under reduction $(\lambda f.\lambda x.f(fx))P \longrightarrow_{\beta} \lambda x.P(Px)$
- Redexes may get multiplied under reduction. $(\lambda f.\lambda x.f(fx))((\lambda y.M)Q) \longrightarrow_{\beta} \lambda x.((\lambda y.M)Q)(((\lambda y.M)Q)x)$
- New redexes may be created under reduction. $(\lambda f.\lambda x.f(fx))(\lambda y.N) \longrightarrow_{\beta} \lambda x.(\lambda y.N)((\lambda y.N)x)$
- First: Weak Normalization
 - Weak Normalization: there is a reduction sequence that terminates,
 - Strong Normalization: all reduction sequences terminate.

Towards Weak Normalization

There are three ways in which a "new" β -redex can be created.

• Creation

$$(\lambda x...x P...)(\lambda y.Q) \longrightarrow_{\beta} ... (\lambda y.Q) P...$$

• Multiplication

$$(\lambda x...x..x..)((\lambda y.Q)R) \longrightarrow_{\beta} ... (\lambda y.Q)R... (\lambda y.Q)R...$$

• Identity

$$(\lambda x.x)(\lambda y.Q)R \longrightarrow_{\beta} (\lambda y.Q)R$$

Towards Weak Normalization

Definition

The height (or order) of a type $h(\sigma)$ is defined by

- $h(\alpha) := 0$
- $h(\sigma_1 \rightarrow \ldots \rightarrow \sigma_n \rightarrow \alpha) := \max(h(\sigma_1), \ldots, h(\sigma_n)) + 1.$

NB [Exercise] This is the same as defining

•
$$h(\sigma \rightarrow \tau) := \max(h(\sigma) + 1, h(\tau)).$$

Definition

The height of a redex $(\lambda x:\sigma P)Q$ is the height of the type of $\lambda x:\sigma P$

Towards Weak Normalization

Definition

•

We give a measure m to the terms by defining m(N):=(h(N),#N) with

- h(N) = the maximum height of a redex in N,
- #N = the number of redexes of height h(N) in N.

The measures of terms are ordered lexicographically:

$$(h_1, x) <_l (h_2, y)$$
 iff $h_1 < h_2$ or $(h_1 = h_2 \text{ and } x < y)$

Theorem [Weak Normalization]

If P is a typable term in $\lambda \rightarrow$, then there is a terminating reduction starting from P.

Proof

Pick a redex of height h(P) inside P that does not contain any other redex of height h(P). [Note that this is always possible!] Reduce this redex, to obtain Q. This does not create a new redex of height h(P). [This is the important step. Exercise: check this; use the three ways in which new redexes can be created.] So $m(Q) <_l m(P)$

As there are no infinitely decreasing $<_l$ sequences, this process must terminate and then we have arrived at a normal form.

Strong Normalization for $\lambda \rightarrow a$ la Curry

This is proved by constructing a model of $\lambda \rightarrow$.

Definition

- $\llbracket \alpha \rrbracket := \mathsf{SN}$ (the set of strongly normalizing λ -terms).
- $\llbracket \sigma \rightarrow \tau \rrbracket := \{ M \mid \forall N \in \llbracket \sigma \rrbracket (MN \in \llbracket \tau \rrbracket) \}.$

Lemma (both by induction on σ)

- $\bullet \ \llbracket \sigma \rrbracket \subseteq \mathsf{SN}$
- If $M[N/x]\vec{P} \in [\![\sigma]\!]$, $N \in [\![\tau]\!]$, then $(\lambda x.M)N\vec{P} \in [\![\sigma]\!]$.

Proposition

$$\left. \begin{array}{l} x_1:\tau_1,\ldots,x_n:\tau_n \vdash M:\sigma \\ N_1 \in \llbracket \tau_1 \rrbracket,\ldots,N_n \in \llbracket \tau_n \rrbracket \end{array} \right\} \Rightarrow M[N_1/x_1,\ldots,N_n/x_n] \in \llbracket \sigma \rrbracket$$

Proof By induction on the derivation of $\Gamma \vdash M : \sigma$.

Proposition

$$\left. \begin{array}{l} x_1:\tau_1,\ldots,x_n:\tau_n \vdash M:\sigma \\ N_1 \in \llbracket \tau_1 \rrbracket,\ldots,N_n \in \llbracket \tau_n \rrbracket \end{array} \right\} \Rightarrow M[N_1/x_1,\ldots,N_n/x_n] \in \llbracket \sigma \rrbracket$$

Corollary $\lambda \rightarrow$ is SN

Proof By taking $N_i := x_i$ in the Proposition. Of course, then we first have to show that $x \in [\![\sigma]\!]$ for all x and σ .

This is a consequence of the following

Lemma

 $xN_1 \dots N_k \in \llbracket \sigma \rrbracket$ for all x, σ and $N_1, \dots, N_k \in SN$. Proof Induction on σ .

A little bit on semantics

 $\lambda \rightarrow$ has a simple set-theoretic model. Given sets $[\![\alpha]\!]$ for all type variables α , define

$$\llbracket \sigma \to \tau \rrbracket := \llbracket \tau \rrbracket^{\llbracket \sigma \rrbracket} \ (\text{ set theoretic function space } \llbracket \sigma \rrbracket \to \llbracket \tau \rrbracket)$$

If any of the base sets $\llbracket \alpha \rrbracket$ is infinite, then there are higher and higher (uncountable) cardinalities among the $\llbracket \sigma \rrbracket$

There are smaller models, e.g.

$$\llbracket \sigma \to \tau \rrbracket := \{ f \in \llbracket \sigma \rrbracket \to \llbracket \tau \rrbracket | f \text{ is definable} \}$$

where definability means that it can be constructed in some formal system. This restricts the collection to a countable set.

For example

$$\llbracket \sigma \rightarrow \tau \rrbracket := \{ f \in \llbracket \sigma \rrbracket \rightarrow \llbracket \tau \rrbracket | f \text{ is } \lambda \text{-definable} \}$$